

Electronic Acknowledgement Receipt

EFS ID:	8329745
Application Number:	10655409
International Application Number:	
Confirmation Number:	6575
Title of Invention:	Computer-implemented securities trading system with a virtual specialist function
First Named Inventor/Applicant Name:	Timothy M. Keiser
Customer Number:	63710
Filer:	Christopher David Agnew/David Postolski
Filer Authorized By:	Christopher David Agnew
Attorney Docket Number:	98-HSX001-C5
Receipt Date:	31-AUG-2010
Filing Date:	04-SEP-2003
Time Stamp:	16:31:19
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	NPL Documents	04-6191-C1_090806_3mo_Non-Final_Office-Action.pdf	1130788 308ef7a6bd7f95b32df39c1c3303aa3b19e86f32	no	24

Warnings:

Information:

2	NPL Documents	04-6191-C1_090320_Application.pdf	1487909 b885eb5ef75844a12e54126e280e8c2cee0 c2533	no	33
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Warnings:

Information:

3	NPL Documents	04-6196_090123_1mo_Non_Final_Office_Action.pdf	205828 fcc0ccb2ae8aeda6e8932b20fb190897d62 lb4c	no	7
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Warnings:

Information:

4	NPL Documents	04-6196_100301_Supplemental_Note_of_Allowability.pdf	126918 75dde33d39c5d8f458b95e53b11e9b7346c 95e40	no	4
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Warnings:

Information:

5	NPL Documents	04-6191WOCA_100607_CA_Exam_Report.pdf	544563 be4ff398610b908712e818c3fac316c11442 4efs	no	4
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Warnings:

Information:

6	NPL Documents	04-6191-C1_100330_Note_of_Allowance_Fees_Due.pdf	466913 dfaeb9788630d07fe9bd7ce1c8915553ef2 541a	no	11
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Warnings:

Information:

7	NPL Documents	04-6191WOEP_081218_Examination_Report.pdf	124467 db1009a3a7d02bbea2b3ef37d402014bb4 10b20a	no	4
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Warnings:

Information:

8	NPL Documents	04-6191WOAU_091127_Exam_report.pdf	170430 77181a19dfb346fe15bcf0d6e52bbf2beb20 f49d	no	3
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Warnings:

Information:

9	NPL Documents	04-6191WOAU_100222_Official_Note_of_Acceptance.pdf	110179 a5373f6f59351f10abd18239cb5ecd45b933 06ed	no	3
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Warnings:

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10	NPL Documents	04-6191-C2_100625_Application.pdf	1487909 b885eb5ef75844a12e54126e280e8c2cee0 c2533	no	33
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Warnings:

Information:

11	NPL Documents	03-6161_030922_Application_as_filed.pdf	2464961 ac58683f534c6e04fb7851e345916ce4e83d dc1a	no	58
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Warnings:

Information:

12	NPL Documents	03-6157-C1_091109_Application-Origin al.pdf	1503627 abab7e05e7c28199ca8d3ba54bc27b94a48 99aaaf	no	26
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Warnings:

Information:

13	NPL Documents	04-6197-C1_100217_AP.pdf	124270 dd6b6524ad9ae14a1c78685a26235eba683 5dded	no	27
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Warnings:

Information:

14	NPL Documents	04-6197CIP-C1_100221_AP.pdf	159224 d656dd2fe86eecadb31594c32bb6f8378bc 4a247	no	44
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Warnings:

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15	NPL Documents	04-6196-C1_100211_AP.pdf	106725 68ddc27eca5e6037b1d6cf5b988c46db53c 2f7fd	no	29
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Warnings:

Information:

16	NPL Documents	09465607_APP.pdf	844426 8a0994e5e87367fa2b5b255c3d3c058d2dc a045e	no	22
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Warnings:

Information:

17	NPL Documents	04-6197_090529_Notify_of_Panel_Decision_from_Pre-Appeal_Brief_Review.pdf	79020 a72bb89822bf984002a903886e64552d0f8 0b8b0	no	2
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Warnings:

Information:

18	NPL Documents	98-HSX001-C6_app.pdf	1717078 11405ed2a56cf2bc32ed13bd3ec38b68a66 7a26c	no	50
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Warnings:

Information:

19	NPL Documents	98-HSX001_960325_AP.pdf	962468 0c72e53322e2533d6ea3f69566b06510040 0d6ac	no	33
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Warnings:

Information:

20	NPL Documents	98-HSX001CIP1_981102_AP.pdf	2056756 6b17499fa002b2cd111dd6b322cf6397fba7c392	no	44
Warnings:					
Information:					
21	NPL Documents	98-HSX001-C4_20021121_AP.pdf	2172754 5b69b557d46e059f6714306d3c8bf47a9285454a	no	44
Warnings:					
Information:					
22	NPL Documents	99-HSX001-CIP2_990825_AP.pdf	1061627 2f10162d2d73a346e5e06f475435bfa8d376d2d	no	26
Warnings:					
Information:					
23	NPL Documents	99-HSX001CIP2_Examiners_Answer.pdf	737136 444ff2e30a9fa020b012/a13c63e815cda7e47dc	no	9
Warnings:					
Information:					
24	NPL Documents	98-HSX001-C6_090707_Note_of_Panel_Decision_from_Pre-Appeal_Brief_Decision.pdf	80929 27c0f4027fa5ef99d193e71dcfb70d06d15dc8f	no	3
Warnings:					
Information:					
25	NPL Documents	03-6157-C1_100817_Interview_Summary.pdf	158250 391e4cf06ec7656c1e7365ae7633c24871c4576d	no	3
Warnings:					
Information:					
26	NPL Documents	98-HSX001-CIP3_100603_Note_of_Panel_Decision_from_Pre-Appeal_Brief_Review.pdf	79597 46201ba345b20c0a9f5a2def01c947f37767705e	no	2
Warnings:					
Information:					
27	NPL Documents	03-6164_091109_Interview_Summary.pdf	312955 47aabf8dc573fd3edb2327511db28ce479e25966	no	8
Warnings:					
Information:					
Total Files Size (in bytes):				20477707	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.